



## **Summary of Waiver Approvals**

As of September 30, 2021, the Office of Child Care (OCC) concluded the waiver request and approval framework linked to the coronavirus disease 2019 (COVID-19) pandemic. The tables below summarize waiver approvals for states, territories and tribes for all waiver requests reviewed between March 1, 2020 through September 30, 2021.

### **Cumulative Summary of Approved State and Territory Waiver Requests**

This table summarizes the 129 waiver approvals by state and territory and by provision approved as of October 1, 2021. This cumulative table includes all waivers approved to date. New waiver approvals added to this table are in *italics* (3 new approvals). Waivers previously approved as of August 6, 2021 are not italicized (126 approvals).

The cumulative summary of all waiver approvals for these state and territory requests is:

- (1) 48 states and territories requested and were approved for waivers for fingerprint, National Sex Offender Registry, interstate checks, existing staff backlog, and provisional hire requirements of criminal background checks as fingerprint locations were closed in many states and therefore unable to process requests.
- (2) 36 states and territories requested and were approved for waivers of pre-licensure and annual health and safety inspections. The Centers for Disease Control and Prevention (CDC) has recommended restricting visitor access to child care programs and physical distancing for all Americans at this time, therefore many states opted to postpone or cancel these inspections and restricted the opening of new programs. Many of these states reported conducting visits should they receive complaints about abuse and neglect.
- (3) 35 states and territories requested and were approved to waive copayments for all families. As many families were struggling with income during this time, states chose to remove this economic hardship and opted to pay providers with other means.
- (4) 21 states requested and were approved for waivers of health and safety training requirements as in-person trainings were suspended following CDC guidelines.
- (5) 19 states and territories requested and were approved for waivers related to eligibility, including 18 waivers of the 12-month eligibility period requirement, four waivers of the income eligibility limit for essential workers— as they were unlikely to continue to need assistance with child care for a full 12-month period, three waivers for graduated phase-out, two waivers for asset limits, and one waiver for job search.
- (6) 17 states requested and were approved for waivers of certain health and safety standards, including three waivers for other extended family members (such as cousins, great-nieces and great-nephews) to be exempted from the CCDF health and safety requirements of 45 CFR §98.41, §98.42, §98.43, and §98.44 to provide care for the children and families impacted by COVID-19. When waiver of standards was approved, they were tied to the inability to perform in-person training on the standard. No requests to waive ALL Health and Safety Standards were received.

- (7) 10 states and territories requested and were approved to waive fiscal requirements related to liquidation and obligation funds and percentage requirements for direct services and quality spending.<sup>1</sup>
- (8) 10 states requested and were approved for waivers related to consumer and provider education, including posting of monitoring and inspection reports, aggregate data, and the consumer education statement.
- (9) 7 states requested and were approved for waivers related to payment practices, including two waivers in order to pay two providers for the same child, two waivers for market rate survey (MRS), and one waiver for equal access related to rates.

### Summary of State/Territory Approved Waivers

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
Alabama	4/17/2020 10/1/2020	X – NSOR; interstate					X – 12-month eligibility				6/8/2020 11/24/2020	
Alaska	3/11/2020 10/1/2020	X – NSOR; interstate; provisional hire		X				X			4/21/2020 11/24/2020 2/2/2021	
American Samoa	6/1/2020 9/30/2021	X - fingerprint						X		X – GY2019 liquidation deadline; GY 2020 obligation deadline	6/30/2020 10/1/2021	

<sup>1</sup> The approval date and effective date of all fiscal waivers related to liquidation and obligation are always the same, October 1.

State	Requested Waiver Start Date	Provision(s) Requested									Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements	
Arizona	3/11/2020	X - NSOR; interstate; provisional hire	X- posting aggregate data	X	X	X	X – 12-month eligibility	X	X	6/8/2020 9/28/2020 11/24/2020	
	3/30/2020										
	4/1/2020										
	5/1/2020										
	10/1/2020										
Arkansas	3/13/2020	X – fingerprint; NSOR; interstate; provisional hire					X – 12-month eligibility; asset limits	X	X	6/8/2020 12/22/2020 8/6/2021	
	3/25/2020										
	10/1/2020										
California <sup>2</sup>	3/21/2020	X- NSOR; interstate	X- posting inspection reports and aggregate data	X	X- group size	X	X – 12-month eligibility	X	X	6/8/2020 8/20/2020 11/24/2020	
	4/1/2020										
	4/8/2020										
	7/1/2020										
	10/1/2020										
Colorado	4/1/2020	X – NSOR; interstate		X				X			6/8/2020 11/2/2020
	10/1/2020										

<sup>2</sup> California's previously issued waiver for copayments expired on August 31, 2020.

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
Connecticut	3/26/2020 10/1/2020	X	X- posting inspection reports	X		X						4/21/2020 11/24/2020
Delaware	4/1/2020 6/15/2020 7/1/2020	X – provisional hire		X				X				6/8/2020 9/28/2020
District of Columbia	4/1/2020 10/1/2020	X- interstate; provisional hire		X				X				9/28/2020 11/24/2020
Florida	4/1/2020							X				6/8/2020
Georgia	4/1/2020						X – 12-month eligibility					4/21/2020
Guam	3/14/2020 9/30/2020 9/30/2021							X		X – GY2019 obligation deadline; GY2019 liquidation deadline; GY 2020 obligation deadline	6/30/2020 9/28/2020 10/1/2021	

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
Hawaii	3/31/2020	X	X	X	X – disaster preparedness; license-exempt standards; ratios; group size	X	X – asset limits; 12-month eligibility; graduated phase-out	X- fee scale; copayment	X – paying two providers		6/8/2020	
	3/20/2020										9/28/2020	
	5/8/2020										11/24/2020	
	10/1/2020											
Idaho	10/1/2020	X –interstate; provisional hire									11/24/2020	
Illinois	3/1/2020	X –NSOR; interstate; provisional hire					X –12-month eligibility; graduated phase-out				11/24/2020	
	9/30/2020										8/6/2021	
Indiana	10/1/2020	X –NSOR; interstate									11/24/2020	
Iowa	3/16/2020	X – fingerprint; provisional hire; NSOR; interstate		X				X			4/21/2020	
	10/1/2020										8/6/2021	

State	Requested Waiver Start Date	Provision(s) Requested									Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements	
Kansas	3/12/2020	X – fingerprint; suspended renewals; provisional hire		X	X – expand relative provider health and safety exemptions	X	X –12-month eligibility				4/21/2020 6/30/2020
Kentucky	3/1/2020	X – fingerprint; provisional hire			X - prevention of shaken baby syndrome, abusive head trauma, and child maltreatment; handling and storage of hazardous materials	X	X	X			4/21/2020
	3/20/2020										6/8/2020
	10/1/2020										11/24/2020
Louisiana	3/11/2020	X – fingerprint		X				X			4/21/2020

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
Maine	3/26/2020	X		X				X				6/8/2020
	4/11/2020											11/24/2020
	10/1/2020											2/2/2021
	9/1/2020											
Maryland	3/30/2020	X – NSOR; interstate; provisional hire						X				9/28/2020
	10/1/2020											12/22/2020
Massachusetts	3/16/2020	X	X – posting inspection reports	X	X			X				6/8/2020
	10/1/2020											11/24/2020
Michigan	3/19/2020	X		X		X						8/6/2021
	3/24/2020											
Minnesota	3/13/2020	X		X	X - pediatric first aid and CPR; ratio; group size	X			X – paying two providers			6/30/2020
	3/18/2020											11/24/2020
	9/30/2020											2/2/2021
	10/1/2020											

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
Mississippi <sup>3</sup>	3/1/2020 10/1/2020	X – interstate; provisional hire	X- posting inspection reports				X – income limits for essential workers: 12-month eligibility	X				6/8/2020 11/24/2020
Missouri	3/13/2020 5/1/2020	X – fingerprint		X		X	X- 12-month eligibility					4/21/2020 6/8/2020
Montana	3/12/2020 3/16/2020 10/1/2020	X – fingerprint; provisional hire; NSOR; interstate		X	X			X		X – Use 70% of discretionary funds on direct services		6/30/2020 9/28/2020 11/2/2020 2/2/2021
Nebraska	3/13/2020 10/1/2020	X		X	X – disaster preparedness	X – disaster prep. training			X			11/24/2020 8/6/2021
Nevada	3/16/2020 8/1/2020 10/1/2020	X – NSOR; interstate; provisional hire		X	X	X						6/8/2020 9/28/2020 11/24/2020

<sup>3</sup> Mississippi's previously issued waiver for Health and Safety Standards has been withdrawn by the state.

		Provision(s) Requested										
State	Requested Waiver Start Date	Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements	Approval Date	
New Hampshire	3/27/2020 4/6/2020 10/1/2020	X		X				X			6/8/2020 8/20/2020 11/24/2020	
New Jersey <sup>4</sup>	9/30/2020	X	X - posting inspection reports						X – MRS		11/24/2020	
New Mexico	3/13/2020 10/1/2020 3/14/2021	X - interstate		X				X			6/8/2020 12/22/2020 8/6/2021	
New York	3/12/2020 3/18/2020 9/30/2020 10/1/2020	X	X- posting inspection reports and aggregate data	X		X	X- 12-month eligibility; graduated phase-out	X			6/8/2020 11/24/2020	

<sup>4</sup> New Jersey's previously issued waiver for aggregate data has been withdrawn by the state.

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
North Carolina <sup>5</sup>	3/1/2020	X		X		X	X- 12-month eligibility	X		X – GY2018 liquidation deadline; GY2019 obligation deadline	4/21/2020	
	3/10/2020										6/8/2020	
	4/1/2020										8/20/2020	
	9/30/2020										9/28/2020	
	10/1/2020										11/24/2020	
North Dakota	3/1/2020		X – posting inspection reports and aggregate data; consumer statement	X	X – emergency preparedness and response planning	X		X			9/28/2020	
	9/30/2020										11/24/2020	
	3/1/2021										8/6/2021	
Northern Mariana Islands	3/16/2020			X			X-12-month eligibility	X		X – GY2018 liquidation deadline; GY2019 obligation deadline	6/8/2020	
	4/1/2020										9/28/2020	
	5/1/2020											
	9/30/2020											
Ohio	3/18/2020	X – fingerprint; provisional hire; NSOR		X	X	X					6/8/2020	
	10/1/2020										11/24/2020	

<sup>5</sup> North Carolina's previously issued waiver for Background Checks – fingerprinting for new or prospective staff has been withdrawn by the state.

State	Requested Waiver Start Date	Provision(s) Requested									Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements	
Oklahoma	3/15/2020	X		X	X – expand relative provider health and safety exemptions		X – Job search	X			6/8/2020
	8/1/2020										12/22/2020
	11/1/2020										
	12/1/2020										
Oregon	9/30/2019	X		X		X		X		X- GY2018 obligation deadline	4/21/2020
	3/8/2020										11/24/2020
	10/1/2020										2/17/2021
Pennsylvania	3/19/2020	X – suspend renewals; fingerprint; interstate; provisional hire		X	X – pediatric first aid and CPR						4/21/2020
	10/1/2020										11/24/2020
Puerto Rico	6/30/2020						X- 12-month eligibility	X		X - GY2018 liquidation deadline; GY2019 obligation deadline	8/20/2020
	9/30/2020										9/28/2020
	12/1/2020										11/24/2020
Rhode Island	3/2/2020		X – posting inspection reports					X			6/8/2020
	10/1/2020										11/2/2020

State	Requested Waiver Start Date	Provision(s) Requested										Approval Date
		Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements		
South Carolina	3/13/2020 10/1/2020	X		X	X	X	X – income limits for essential workers; 12-month eligibility	X			6/8/2020 9/28/2020 11/24/2020	
South Dakota	10/1/2020 4/1/2021	X – provisional hire; interstate						X			11/2/2020 8/6/2021	
Tennessee	3/3/2020	X – fingerprint; NSOR; interstate		X	X	X	X – income limits for essential workers; 12-month eligibility	X		X – Use 70% of discretionary funds on direct services	6/8/2020 9/28/2020 8/6/2021	
Texas	3/1/2020 3/25/2020 10/1/2020	X – fingerprint; NSOR; interstate		X		X	X – income limits for essential workers; 12-month eligibility	X			6/8/2020 2/2/2021	

		Provision(s) Requested										
State	Requested Waiver Start Date	Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements	Approval Date	
Utah	4/20/2020 5/1/2020 5/14/2020	X – fingerprint; interstate		X			X- 12-month eligibility	X		X – Use not less than 3% of funds on quality activities for infants and toddlers	6/8/2020 8/6/2021	
Vermont	3/18/2020 3/21/2020 9/8/2020 10/1/2020	X – fingerprint; NSOR; interstate; provisional hire		X		X		X	X – equal access		6/30/2020 11/2/2020 11/24/2020	
Virgin Islands	9/30/2020 9/30/2021									X – GY2019 obligation deadline; GY2018 liquidation deadline; GY2019 liquidation deadline; GY2020 obligation deadline	9/28/2020 2/17/2021 10/1/2021	

		Provision(s) Requested										
State	Requested Waiver Start Date	Background Checks	Consumer and Provider Education	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayment for all Families	Payment Practices/ MRS	Fiscal Requirements	Approval Date	
Virginia	3/12/2020 4/1/2020	X						X				9/28/2020
Washington	2/29/2020 9/25/2020 10/1/2020 10/16/2020	X – fingerprint; interstate; provisional hire		X	X – expand relative provider health and safety exemptions	X		X				6/8/2020 9/28/2020 11/2/2020 11/24/2020 8/6/2021
West Virginia	10/1/2020	X – interstate; provisional hire										11/2/2020
Wisconsin	3/24/2020 10/1/2020	X		X								6/8/2020 11/24/2020
Wyoming	10/1/2020	X - interstate										11/2/2020

## Cumulative Summary of Approved Tribal Waiver Requests

This table summarizes the 148 waiver approvals by tribe and by provision approved as of October 1, 2021. This cumulative table includes all waivers approved to date. New waiver approvals added to this table are in *italics* (41 new approvals). Waivers previously approved as of August 6, 2021 are not italicized (107 approvals).

The cumulative summary of all waiver approvals for these tribal requests is:

- (1) 69 tribes requested and were approved to waive fiscal requirements related to liquidation and obligation funds and percentage requirements for direct services and quality spending as many tribes were closed and unable to spend any funds.<sup>6</sup>
- (2) 51 tribes requested and were approved to waive copayments for all families. As many families were struggling with income during this time, tribes have chosen to remove this economic hardship and are opting to pay providers with other means.
- (3) 14 tribes requested and were approved for a waiver of health and safety inspections. The Centers for Disease Control and Prevention (CDC) has recommended restricting visitor access to child care programs and physical distancing for all Americans at this time, therefore tribes have opted to postpone or cancel these inspections and have restricted the opening of new programs. These tribes report they will still conduct visits should they receive complaints about abuse and neglect.
- (4) 12 tribes requested and were approved for waiver of certain health and safety standards, including 11 waivers requested for other extended family members (such as cousins, great-nieces and great-nephews) to be exempted from the CCDF health and safety requirements of 45 CFR §98.41, §98.42, §98.43, and §98.44 to provide care for the children and families impacted by COVID-19. No requests to waive ALL Health and Safety Standards were received.
- (5) 9 tribes requested and were approved for waivers for fingerprint, provisional hire, child abuse and neglect registry, and National Sex Offender Registry requirements of criminal background checks as fingerprint locations were closed and therefore unable to process requests.
- (6) 8 tribes requested and were approved for a waiver of health and safety training requirements as in-person trainings were suspended following CDC guidelines.
- (7) 3 tribes requested and were approved for waivers related to eligibility, including two waivers of the 12-month eligibility period requirement and one waiver of the income eligibility limit for essential workers.

---

<sup>6</sup> The approval date and effective date of all fiscal waivers related to liquidation and obligation are always the same, October 1.

## Summary of Tribal Approved Waivers

Tribe	Requested Waiver Start Date	Provision(s) Requested							Fiscal Requirements	Approval Date
		Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families			
Absentee Shawnee Tribe of Oklahoma	9/30/2020							X - GY2019 obligation deadline	11/2/2020	
Arctic Slope Native Association	3/30/2020	X - fingerprinting							6/30/2020	
<i>Asa'casarmiut Tribe</i>	9/30/2021							<i>X – GY2020 obligation deadline</i>	10/1/2021	
Association of Village Council Presidents	7/1/2020						X		9/28/2020	
<i>Bad River Tribe</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
<i>Bear River Band of the Rohnerville Rancheria</i>	9/30/2020							<i>X - GY2019 obligation deadline; GY2020 obligation deadline</i>	9/28/2020	
<i>Bishop Paiute Tribe</i>	9/30/2021							<i>X – GY2020 obligation deadline</i>	10/1/2021	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Bois Forte Tribal Community <sup>7</sup>	9/30/2020							X - GY2018 liquidation deadline	8/6/2021	
Caddo Indian Tribe of Oklahoma	4/1/2020						X		6/30/2020	
<i>Campo Band of Mission Indians</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
Central Council of the Tlingit and Haida Indian Tribes of Alaska (CCTHITA)	3/24/2020						X		6/30/2020	
Cherokee Nation	4/1/2020			X – expand relative provider health and safety exemptions					8/20/2020	
Cheyenne & Arapaho Tribes of Oklahoma	3/15/2020						X		6/8/2020	

<sup>7</sup> Bois Forte Tribal Community's previously issued fiscal waiver on 8/20/2020 was corrected to reflect that it was for the liquidation deadline.

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Cheyenne River Sioux Tribe	3/1/2021	X – fingerprinting; NSOR	X		X		X			8/6/2021
Choctaw Nation of Oklahoma	9/30/2020						X	X – GY2018 liquidation deadline; GY2019 obligation deadline	9/28/2020	
<i>Citizen Potawatomi Nation</i> <sup>8</sup>	3/1/2020 10/1/2021						X			12/22/2020 11/22/2021
Cloverdale Rancheria	9/30/2021							X – GY2019 liquidation deadline; GY2020 obligation deadline	10/1/2021	
Comanche Nation	4/1/2020						X			8/6/2021
Confederated Tribes of Coos, Lower Umpqua and Siuslaw Indians	9/30/2020							X – GY2019 obligation deadline	11/2/2020	
<i>Confederated Tribes of the Goshute Reservation</i>	9/30/2020 9/30/2021							X – GY2018 liquidation deadline; GY2018 Construction liquidation deadline; GY2019 Construction liquidation deadline	9/28/2020 10/1/2021	

<sup>8</sup> Due to the rolling nature of 102-477 plan amendments and approvals, date of waiver approval also occurs on a rolling basis as needed.

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
<i>Confederated Tribes of Grand Ronde</i>	9/30/2020 9/30/2021							X – GY2019 obligation deadline; <i>GY2020 obligation deadline</i>	9/28/2020 10/1/2021	
Confederated Tribes of Siletz Indians	9/30/2020							X – GY2019 obligation deadline	9/28/2020	
<i>Confederated Tribes of the Umatilla Indian Reservation</i>	6/16/2020 9/30/2020 9/30/2021	X	X					X – GY2019 obligation deadline; <i>GY2020 obligation deadline</i>	11/2/2020 11/24/2020 10/1/2021	
<i>Confederated Tribes of the Warm Springs Reservation</i>	3/1/2020 9/30/2021						X	X – GY2018 liquidation deadline; GY2019 obligation deadline; <i>GY2020 obligation deadline</i>	6/30/2020 10/1/2021	
Cook Inlet Tribal Council (CITC)	4/1/2020						X		6/30/2020	
Coquille Indian Tribe	9/30/2020							X – GY2019 obligation deadline	6/30/2020	
Cowlitz Indian Tribe	2/29/2020 9/30/2020						X	X – GY2019 obligation deadline	6/30/2020 9/28/2020	
Eastern Band of Cherokee Indians	4/1/2020						X		6/30/2020	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Eastern Shawnee Tribe of Oklahoma	3/1/2020						X		6/30/2020	
<i>Ely Shoshone Tribe</i>	9/30/2020 9/30/2021							<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; GY2019 liquidation deadline; GY2020 obligation deadline</i>	9/28/2020 10/1/2021	
Flandreau Santee Sioux Tribe	5/1/2021			X – expand relative provider health and safety exemptions					8/6/2021	
Fond du Lac Band of Lake Superior Chippewa	3/13/2020		X						11/24/2020	
<i>Fort McDowell Yavapai Nation</i>	9/30/2020 9/30/2021							<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; GY2020 obligation deadline</i>	8/20/2020 10/1/2021	
Ho-Chunk Nation	9/30/2020							X – GY2019 obligation deadline	11/2/2020	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
<i>Hoonah Indian Association</i>	9/30/2021							<i>X – GY2020 obligation deadline</i>	10/1/2021	
<i>Hoopa Valley Tribe</i>	9/30/2020 9/30/2021							<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; GY2019 liquidation deadline; GY2020 obligation deadline</i>	9/28/2020 10/1/2021	
<i>The Hopi Tribe</i>	9/30/2020							<i>X – GY2019 obligation deadline</i>	8/20/2020	
<i>Hualapai Tribe</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
<i>Inter-Tribal Council of Nevada</i>	3/1/2020 9/30/2020 9/30/2021		X		X			<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; GY2019 liquidation deadline; GY2020 obligation deadline</i>	8/20/2020 9/28/2020 10/1/2021	
<i>Kaw Nation of Oklahoma</i>	3/19/2020 9/30/2020						X	<i>X – GY2018 liquidation deadline</i>	11/2/2020 2/2/2021	
<i>Kickapoo Tribe of Oklahoma</i>	4/1/2020 9/30/2020		X				X	<i>X – GY2018 liquidation deadline; GY2019 obligation deadline</i>	9/28/2020 11/2/2020	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Kiowa Tribe of Oklahoma	3/23/2020					X – income limits for essential workers	X			6/30/2020
<i>The Klamath Tribes</i>	9/30/2020 9/30/2021							<i>X – GY2019 obligation deadline; GY2019 liquidation deadline; GY2020 obligation deadline</i>	6/30/2020 10/1/2021	
Kodiak Area Native Association (KANA)	4/1/2020						X			6/30/2020
<i>Lac Courte Oreilles Band of Lake Superior Chippewa Tribe</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
<i>Lac du Flambeau Band of Lake Superior Chippewa Indians</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
<i>Leech Lake Band of Ojibwe</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
<i>Little Traverse Bay Bands of Odawa Indians</i> <sup>9</sup>	10/1/2020 9/30/2021							X – GY2019 obligation deadline; GY2020 obligation deadline	8/6/2021 10/1/2021	
<i>Lower Sioux Indian Community</i>	9/30/2021							X – GY2019 liquidation deadline; GY2020 obligation deadline	10/1/2021	
<i>Lummi Nation</i>	4/1/2020 9/30/2020 9/30/2021						X	X – GY2018 liquidation deadline; GY2019 liquidation deadline	6/30/2020 10/1/2021	
<i>Maniilaq Association</i>	9/30/2020		X				X		11/24/2020	
<i>Mashpee Wampanoag Tribe</i>	9/30/2020							X – GY2018 liquidation deadline; GY2019 obligation deadline;	2/17/2021 8/6/2021	
<i>Mechoopda Indian Tribe of Chico Rancheria</i>	9/30/2020							X – GY2018 liquidation deadline	8/20/2020	
<i>Miami Tribe of Oklahoma</i>	3/1/2020						X		6/30/2020	
<i>Mille Lacs Band of Ojibwe</i>	7/1/2020						X		8/20/2020	

<sup>9</sup> Little Traverse Bay Bands of Odawa Indians' previously issued fiscal waiver on 2/2/2021 was corrected to reflect that it was for the obligation deadline.

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Moapa Band of Paiutes's	3/27/2020	X – CAN in-state and interstate	X						8/6/2021	
Mocdoc Tribe of Oklahoma	3/1/2020						X		6/30/2020	
<i>Muckleshoot Indian Tribe</i>	3/16/2020			X – expand relative provider health and safety exemptions			X	<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; GY2020 obligation deadline</i>	6/30/2020	
	12/1/2020								2/2/2021	
	9/30/2021								10/1/2021	
Muscogee (Creek) Nation	3/13/2020		X		X		X		8/6/2021	
<i>The Native Village of Barrow</i>	9/30/2021							<i>X – GY2020 obligation deadline</i>	10/1/2021	
<i>Navajo Nation</i>	3/16/2020							<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; Use 70% of discretionary funds on direct services; Use not less than 3% of funds on quality activities for infants and toddlers; Use not less than 8% of funds on quality activities; GY2019 liquidation deadline; GY2020 obligation deadline</i>	8/20/2020	
	9/30/2020								8/6/2021	
	9/30/2021								10/1/2021	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Nez Perce	9/30/2020							X – GY2019 obligation deadline	6/30/2020	
Ninilchik Traditional Council Tribal Services	9/30/2020							X – GY2019 obligation deadline	8/6/2021	
<i>Nome Eskimo Community</i>	9/30/2020 9/30/2021							X – GY2019 obligation deadline; GY2020 obligation deadline	6/30/2020 10/1/2021	
Northern Cheyenne Tribe	3/16/2020	X – fingerprinting			X				6/30/2020	
<i>Nottawaseppi Huron Band of the Potawatomi</i>	9/30/2020 9/30/2021							X – GY2019 obligation deadline; GY2019 liquidation deadline; GY2020 obligation deadline	11/2/2020 10/1/2021	
Oglala Sioux Tribe	3/16/2020	X – fingerprinting	X				X		9/28/2020	
Osage Nation	3/1/2020						X		6/8/2020	
Ottawa Tribe of Oklahoma	3/1/2020						X		6/30/2020	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
<i>Pascua Yaqui Tribe</i>	9/30/2020	X – fingerprinting; provisional hire	X		X	X – 12-month eligibility	X	X – GY2019 obligation deadline; <i>GY2020 obligation deadline</i>	9/28/2020	
	7/6/2020								12/22/2020	
	9/30/2021								10/1/2021	
Peoria Tribe of Indians	3/1/2020 9/30/2020						X	X – GY2018 liquidation deadline	6/30/2020 9/28/2020	
<i>Picayune Rancheria of the Chukchansi Indians</i>	9/30/2021							X – <i>GY2020 obligation deadline</i>	10/1/2021	
<i>Pokagon Band</i>	9/30/2021							X – <i>GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
Ponca Tribe of Indians of Oklahoma	9/30/2020							X – GY2018 liquidation deadline; FY2019 obligation deadline	11/2/2020	
<i>Pribilof Islands Aleut Community of St. Paul Island</i>	9/30/2020 9/30/2021							X – GY2019 obligation deadline; <i>GY2020 obligation deadline</i>	2/17/2021 10/1/2021	
Pueblo of Isleta	9/1/2020 9/30/2020						X	X – GY2018 liquidation deadline	12/22/2020	
Pueblo of Zuni	3/19/2020		X	X					8/20/2020	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Puyallup Tribe of Indians	3/16/2020			X – expand relative provider health and safety exemptions			X	X – GY2018 liquidation deadline; GY2019 obligation deadline	6/30/2020	
Pyramid Lake Paiute Tribe	9/30/2020							X – GY2018 liquidation deadline; GY2019 obligation deadline	9/28/2020	
Quapaw Nation	3/1/2020						X		6/30/2020	
<i>Quechan Tribe</i>	9/30/2021							<i>X – GY2019 liquidation deadline; GY2020 obligation deadline</i>	10/1/2021	
Quinault Indian Nation	9/8/2020 9/30/2020			X – expand relative provider health and safety exemptions				X – GY2019 obligation deadline	9/28/2020	
<i>Robinson Rancheria</i>	9/30/2020 9/30/2021							<i>X – GY2018 liquidation deadline; GY2019 obligation deadline; GY2019 liquidation deadline; GY2020 obligation deadline</i>	9/28/2020 10/1/2021	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Rosebud Sioux Tribe	3/16/2020	X - fingerprinting	X		X		X	X – GY2018 liquidation deadline		9/28/2020
Round Valley Indian Tribes	9/30/2020							X – GY2018 liquidation deadline		8/20/2020
Sac and Fox	5/1/2020						X			6/30/2020
<i>Saint Regis Mohawk Tribe<sup>10</sup></i>	3/17/2020 10/1/2021			<i>X – expand relative provider health and safety exemptions</i>			X			2/17/2021 12/16/2021
Salt River Pima-Maricopa Indian Community	2/1/2021						X			8/6/2021
San Carlos Apache Tribe	9/30/2020							X – GY2018 liquidation deadline; GY2019 obligation deadline		8/20/2020

<sup>10</sup> Due to the rolling nature of 102-477 plan amendments and approvals, date of waiver approval also occurs on a rolling basis as needed.

		Provision(s) Requested									
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date		
Sault Ste. Marie Tribe of Chippewa Indians <sup>11</sup>	2/19/2021 5/1/2021			X – expand relative provider health and safety exemptions			X			8/6/2021	
Seminole Nation of Oklahoma	4/1/2020 9/30/2020			X			X	X – GY2018 liquidation deadline	9/28/2020 11/2/2020		
Seneca-Cayuga Nation	4/1/2020						X			6/30/2020	
The Seneca Nation of Indians	9/30/2020							X - GY2019 obligation deadline			11/2/2020
Shawnee Tribe	3/1/2020						X			6/30/2020	
Shinnecock Indian Nation	9/30/2020							X – GY2019 obligation deadline			8/6/2021
Shoshone-Bannock Tribes	3/1/2020 6/1/2020				X – 12-month eligibility	X	X – GY2018 liquidation deadline; GY2019 obligation deadline			6/30/2020	

<sup>11</sup> Sault Sainte Marie Tribe's previously issued waiver on 8/6/2021 was corrected to include a waiver for copayments.

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Snoqualmie Indian Tribe	2/29/2020 1/20/2021			X – expand relative provider health and safety exemptions			X			
South Puget Intertribal Planning Agency (SPIPA)	3/13/2020						X	X – GY2018 liquidation deadline; GY2019 obligation deadline	6/30/2020	
<i>St. Croix Ojibwe Band of Lake Superior</i>	9/30/2021							<i>X – GY2020 obligation deadline</i>	10/1/2021	
<i>Tanana Chiefs Conference</i> <sup>12</sup>	3/18/2020 7/1/2021		X	X – expand relative provider health and safety exemptions			X			
<i>Tohono O'odham Nation</i>	9/30/2020 9/30/2021							X – GY2018 liquidation deadline; GY2019 obligation deadline; <i>GY2019 liquidation deadline</i> ; <i>GY2020 obligation deadline</i>	8/20/2020 10/1/2021	

<sup>12</sup> Due to the rolling nature of 102-477 plan amendments and approvals, date of waiver approval also occurs on a rolling basis as needed.

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Tulalip Tribes	3/7/2020 10/1/2020			X – expand relative provider health and safety exemptions			X	X – GY2019 obligation deadline	6/8/2020 11/2/2020	
United Kaetoowah Band of Cherokee Indians	3/1/2020						X		6/30/2020	
White Earth Reservation	4/1/2020 9/30/2020 9/30/2021						X	X – GY2018 liquidation deadline; GY2019 obligation deadline; <i>GY2019 liquidation deadline; GY2020 obligation deadline</i>	6/8/2020 9/28/2020 10/1/2021	
White Mountain Apache Tribe	9/30/2020							X – GY2018 liquidation deadline	8/20/2020	
Wichita and Affiliated Tribes	3/1/2020						X		9/28/2020	
Wyandotte Nation of Oklahoma	3/1/2020						X		6/30/2020	

		Provision(s) Requested								
Tribe	Requested Waiver Start Date	Background Checks	Health and Safety Inspections	Health and Safety Standards	Health and Safety Training	Eligibility	Waive Copayments for all Families	Fiscal Requirements	Approval Date	
Yakama Nation	4/17/2020			X – expand relative provider health and safety exemptions			X	X – GY2018 liquidation deadline; GY2019 obligation deadline; <i>GY2020 obligation deadline</i>	6/30/2020	
	6/1/2020								10/1/2021	
	9/30/2021									
Yankton Sioux	3/16/2020	X	X		X		X		8/6/2021	
	4/1/2021									